

THE STATE LOTTERY COMMISSION OF INDIANA

INDIANAPOLIS, INDIANA

In The Matter Of The Promulgation Of )  
Amended Procedures For The “Hoosier ) \_\_\_\_\_  
Lotto Haulin’ Cash” Promotional Drawings )  
 ) Date Amended

Amended Rules and Procedures for the “Hoosier Lotto  
Haulin’ Cash” Promotional Drawings

The State Lottery Commission of Indiana (herein “Lottery”), by the undersigned director’s designee, hereby amends in their entirety the rules and procedures which will apply to the “Hoosier Lotto Haulin’ Cash” Promotional drawings and associated activities conducted at the Lottery’s Distribution Center, headquarters location and regional offices located throughout the state of Indiana during the period of May 14, 2004 through July 22, 2004. All drawings are open to the public.

**A. Generally**

1. Players. All persons are eligible to enter except those who are:
  - a. less than eighteen (18) years of age;
  - b. officers or employees of the Lottery, the Lottery’s advertising agency or a Lottery major procurement vendor or are relatives living in the same household as those officers or employees; and
  - c. officers or employees of Producers Plus, Inc., and its subcontractors or persons living in the same household as those officers or employees.

2. Player’s Presence. A player need not be present at the July 15, 2004 preliminary drawings but must be present in person or by proxy at the preliminary and Grand Prize Drawing in Indianapolis on July 22, 2004. Failure to appear for the briefing at the Omni Severin Hotel on July 21, 2004 may, at the discretion of the Lottery, disqualify a person from participating in the Grand Prize Drawing and from winning the grand prize.

3. Drawing Personnel.

- (a) Preliminary Drawings. The Director shall designate a Marketing Division employee to serve as the Drawing Manager for the eight (8) July 15, 2004 preliminary drawings, one drawing per region from among the entries

received in each region, to be conducted at the Lottery's Indianapolis Distribution Center. The Director of Security shall designate a Security Division Representative to supervise and assist with each of the drawings.

- (b) Grand Prize Drawing. The Public Relations Director, or his designee, is hereby designated to serve as the Drawing Manager with respect to conducting the drawing for vehicle keys by the preliminary drawing winners in accordance herewith. The Director of Security or his designee shall supervise and assist with the drawing.
- (c) A representative of the independent accounting firm Deloitte and Touche shall witness the preliminary drawings and Grand Prize Drawing on July 21 and 22, 2004.

4. Entering the Drawings. During the period commencing on May 14, 2004 and concluding on June 30, 2004, when players purchase one (1) Hoosier Lotto ticket containing a minimum of five (5) one dollar (\$1) plays, they will automatically receive a terminal generated entry (or any other entry form produced by the Lottery) for participation in the preliminary drawings. Players may enter the preliminary drawings by completing the entry and mailing or otherwise delivering it to the following post office box or address:

**Hoosier Lottery**  
**HOOSIER LOTTO HAULIN' CASH**  
**P.O. Box 6143**  
**Indianapolis, IN 46206**

**Hoosier Lottery**  
**HOOSIER LOTTO HAULIN' CASH**  
**P.O. Box 64781**  
**Gary, IN 46401**

**Hoosier Lottery**  
**HOOSIER LOTTO HAULIN' CASH**  
**P.O. Box 6249**  
**South Bend, IN 46660**

**Hoosier Lottery**  
**HOOSIER LOTTO HAULIN' CASH**  
**P.O. Box 5167**  
**Fort Wayne, IN 46895**

**Hoosier Lottery**  
**HOOSIER LOTTO HAULIN' CASH**  
**P.O. Box 962**  
**Muncie, IN 47308**

**Hoosier Lottery**  
**HOOSIER LOTTO HAULIN' CASH**  
**P.O. Box 6788**  
**New Albany, IN 47151**

**Hoosier Lottery**  
**HOOSIER LOTTO HAULIN' CASH**  
**P.O. Box 8468**  
**Evansville, IN 47716**

**Hoosier Lottery**  
**HOOSIER LOTTO HAULIN' CASH**  
**P.O. Box 2198**  
**Terre Haute, IN 47802**

5. No Limitation on Entries. There is no limit on the number of times a person may enter the "Hoosier Lotto Haulin' Cash" preliminary drawings. Notwithstanding the foregoing, a player may only win one (1) preliminary prize under these rules and procedures.

6. Deadline and Drawing Dates. Envelopes received by the Lottery no later than midnight July 14, 2004 will be eligible for inclusion in the preliminary drawings which will be conducted on July 15, 2004 at the Lottery's Indianapolis Distribution Center. The Grand Prize Drawing shall be held on July 22, 2004 in Indianapolis.

7. Entry Collection, Securing and Retention. The Lottery shall collect entries and retain them for the drawings. Regional personnel may periodically collect the envelopes received at the post office boxes prior to the deadline with the final collection no later than 10:00 a.m., EST, July 15, 2004. Any envelopes delivered directly to a regional office shall be added to the envelopes collected from that region's post office box. All envelopes so collected shall be maintained in restricted access locations until retrieved periodically by Security Division employees.

Security Division employees shall periodically retrieve the envelopes secured at the regional offices and transport them to the Lottery's Indianapolis Distribution Center. All such envelopes shall be stored in a secure area of the Distribution Center and shall remain segregated by region. Notwithstanding anything herein to the contrary, on or about July 15, 2004, the Drawing Manager shall conduct the preliminary drawings by overseeing the selection of one (1) qualified envelope per region from the drawing devices containing envelopes from regions 2 through 8 and two (2) qualified envelopes from the drawing device containing envelopes from the region 1 office.

The Security Division Representative shall collect the unselected envelopes and secure them for subsequent destruction or other use by the Lottery.

8. Eligibility Requirements. Each computer generated entry or other form of entry produced by the Lottery must include the player's telephone number, if any, name and address written in a clear and legible manner. Entries must be mailed in envelopes no larger than 9 1/2" by 4 1/8" and be received by the Lottery no later than July 14, 2004 to be eligible for the preliminary drawing on July 15, 2004. Entries sent in larger envelopes will be considered ineligible. Envelopes received at a current Regional Office street address will be added to those mailed to the post office boxes. See Attachment A for addresses. Envelopes received at Lottery headquarters in Indianapolis or any other address will not be included in the drawings.

In the event an envelope contains more than one (1) entry, it shall be considered ineligible unless all of the enclosed entries include the same telephone number, if any, name, and address. An envelope containing multiple entries with the same personal information shall be treated as only one (1) entry. All entries in envelopes not meeting this requirement shall be deemed ineligible and destroyed. If the information on the entry is illegible, incomplete or otherwise not in compliance with these procedures, the Lottery shall disqualify the entry.

9. Prize Eligibility. A player is eligible to win only one (1) preliminary prize.

10. Winners' Responsibilities. Winners shall be required to complete a Winner Claim Form and any other documentation requested by the Lottery. In the event a preliminary prize winner is found to have an outstanding debt that the Lottery is statutorily required to set-off (pursuant to IC 4-30-11-11), such debt shall be deducted from the cash prize. If a preliminary winner with a statutory debt still owes a balance on the debt and then wins the grand prize, the Lottery shall pay toward the debt the cash that would have been utilized to pay on the winner's behalf federal and state income withholding taxes, state excise taxes and title and registration fees associated with the grand prize. After payment of the foregoing toward the debt, the grand prize vehicle shall be awarded to the grand prize winner. Notwithstanding anything to the contrary herein, such winner shall become responsible for all state, federal, and excise taxes and for any other fees associated with the grand prize vehicle.

11. Taxes. Preliminary winners will be responsible for any and all taxes incurred in connection with their prizes. The Lottery will pay on the grand prize winner's behalf the title and registration fees and the applicable federal and state income withholding taxes associated with the prize. There is no cash alternative to the prize. The truck is provided "as is" and the Lottery does not warrant the operation, condition or appearance of the truck.

## **B. Prizes**

### **Preliminary Drawing Prizes.**

There shall be one (1) drawing per region resulting in the selection of two (2) finalists from among the Region One entries and one (1) finalist each from among the entries from the other seven (7) regions who shall be eligible to participate in the Grand Prize Drawing. Each finalist shall be awarded a prize of \$1,000. Each finalist and one (1) guest shall also receive one (1) overnight hotel accommodations for the night of July 21, 2004 at the Omni Severin located in downtown Indianapolis accompanied by participation in a briefing and attendance at a buffet dinner with Hoosier Millionaire contestants. A player may win only one (1) preliminary prize under these rules and procedures.

### **Grand Prize Drawing**

There shall be one (1) Grand Prize Drawing during which the finalists from the preliminary drawings shall have the opportunity to win one Ford® 2004 F-150 Lariat Super Crew pick-up truck valued at approximately \$44,000 (includes taxes and registration and plating fees).

There will be no cash alternative for any prize awarded.

## **C. Drawings**

### **I. July 15, 2004 Drawings**

#### **(a) Preliminary Drawing procedures**

Commencing with region 1, all of the following procedures shall be individually applied to each of the eight (8) regional drawings conducted at the Distribution Center except as otherwise set forth below:

1. On the day of the drawings, Lottery personnel shall gather the envelopes from post office boxes, if any have not yet been collected, and from the secure storage locations in the Distribution Center. All such envelopes shall be brought to the drawing site segregated by region. The drawings are open to the public and will be conducted at the Distribution Center.
2. The Security Division shall secure the requisite number of drawing devices and remove any tickets or entries from prior drawings.
3. The Drawing Manager shall, with the goal of securing approximately one hundred (100) envelopes for a final drawing for each region, estimate how many envelopes have been received in a particular region and divide that number by 5,000. That result shall determine the number of drawings required per region in order to reach the approximate goal of one hundred (100) envelopes. The number one hundred (100) shall then be divided by the number of drawings to determine approximately how many envelopes should be selected per drawing.
4. The Drawing Manager shall then direct Security Division employees to prepare for the drawings by depositing approximately 5,000 entries from among the total entries received in, for example, region 1 into a large container and conduct a thorough mixing. She shall then designate a Lottery employee to take the approximate number of entries determined above from the container. This process shall be applied to each drawing.
5. After approximately one hundred (100) envelopes have been selected in accordance with the foregoing, the Drawing Manager shall place those envelopes in a drawing device and perform a thorough mixing.
6. At the Drawing Manager's direction, the designated Lottery employee shall select two (2) envelopes in the drawing associated with region 1 and one (1) envelope in the drawings associated with regions 2-8.
7. The designated Lottery employee shall hand each selected envelope to the Security Division Representative who shall open the envelope and determine whether they (1) are of the appropriate size, (2) contain one (1) eligible entry

that is fully and legibly completed or multiple entries containing the same telephone number, if any, name, and address. If the envelopes and entries satisfy this standard, the Security Division Representatives shall mark each envelope with the date, the region, the time of selection and his/her initials.

8. If a selected envelope is too large or contains an entry that is deemed illegible, incomplete or otherwise not in compliance with these rules and procedures, it shall be disqualified. In such an event, the Lottery employee shall be directed to select another envelope from the drawing device. This process shall continue until the required number of qualified envelopes have been selected for the region and marked as described above.
9. The Drawing Manager shall then direct the Lottery employee to select two (2) additional entries after which the Security Division Representative shall verify that both entries meet the qualifications set forth herein and, if so, mark them "ALTERNATE 1" and "ALTERNATE 2" and include the date, the region, the time of selection and his/her initials respectively, in the order drawn. This process shall continue until two (2) qualified entries are selected and marked.
10. All of the above requirements must be met before a player shall be entitled to the preliminary prizes.
11. The Security Division Representatives shall be responsible for retaining and then forwarding the selected envelopes and entries, including those that are disqualified, to the Director of the Security Division who shall attach them to the final drawing report.

**(b) Post-preliminary drawing procedures**

1. No later than 3:00 p.m., EST, on the day of the preliminary drawings, the Drawing Manager shall contact the Promotions Coordinator, Mary Klene, and identify, by region commencing with region 1, the finalists' and alternates' names, addresses and telephone numbers as stated on the selected entries and the time at which each entry was drawn. The Promotions Coordinator shall then verify that there are no duplicate names among those selected as finalists. In the event a duplicate entry is selected, the Promotions Coordinator shall rely on the selection time to determine which is the later selected entry and that entry shall be disqualified.
2. In the event of a disqualified entry, the Promotions Coordinator shall designate the player identified as "ALTERNATE 1" as the finalist for that region. If "ALTERNATE 1" is also disqualified as a duplicate, "ALTERNATE 2" shall be designated as the finalist for that region.
3. The Promotions Coordinator shall contact the finalists, by telephone, if possible, and in writing and inform them that they have won \$1,000 and entry

into the Grand Prize Drawing. In order to perform the debt set-off investigation, preliminary winners must provide their Social Security Numbers to the Promotions Coordinator prior to the day of the Grand Prize Drawing. The letters to each of the preliminary winners shall be accompanied by a Winner Claim Form, a proxy form, and a winner release form. Preliminary winners must bring the completed claim and release forms to Indianapolis for presentation to Lottery officials no later than 4:00 p.m., EST on July 21, 2004. If a preliminary winner selects a proxy to appear and act on his/her behalf, the winner must also complete and arrange for the delivery of a proxy form to the Lottery within the designated tie frame.

## **II. Grand Prize Drawing and related preliminary drawings**

1. The Grand Prize Drawing shall be conducted on July 22, 2004 at Pan Am Plaza in Indianapolis.
2. At least one day prior to the grand prize drawing, a Security Division Representative shall ensure that the grand prize vehicle is in proper working order and can be unlocked with the key provided with such vehicle. The key shall be stored by the Security Division in a secure location until the Grand Prize Drawing.
3. No later than 6:30 a.m., EST on the day of the Grand Prize Drawing, the Security Division Representative shall, in the presence of an independent accountant, reconfirm the operational capacity of the vehicle, place the ignition key in the ignition and lock the vehicle.
4. The Security Division shall secure and maintain eight (8) “dummy” keys to be used in the Grand Prize Drawing and the one (1) key that will unlock the vehicle. At the time of the Grand Prize Drawing, the Security Division Representative shall bring all nine (9) keys to the drawing site and place them in the custody of the Drawing Manager.
5. On the day preceding the Grand Prize Drawing, finalists, which term as used hereafter shall include proxies, shall report to the Lobby of the Omni Severin no later than 4:00 p.m., EST, where they will be met by a lottery employee who will escort them to dinner scheduled to commence at 5:00 p.m., EST.
6. During or shortly after the dinner, the Drawing Manager, in the presence of the Security Division Representative and independent auditor, shall instruct the finalists on the procedures for the Grand Prize Drawing. There will be a total of three (3) additional drawings: (i) two (2) preliminary drawings to determine the order in which the finalists will select keys to the grand prize vehicle and (ii) the grand prize drawing.

7. The Drawing Manager and Security Division shall secure a drawing device and remove any tickets or entries from prior drawings. The Drawing Manager shall write each finalist's name on uniform instruments and have the Security Division Representative verify that the names are those of the finalists.
8. The first preliminary drawing shall be conducted shortly after the dinner under the supervision of the Security Division Representative and witnessed by the independent accountant. The verified or corrected, if needed, uniform instruments shall be placed in a drawing device. After a thorough mixing, a Lottery employee shall select one (1) instrument at a time and the Drawing Manager shall record the name written thereon. This process shall continue until all nine (9) of the instruments have been selected and a list is created identifying each finalist's name in the order in which it was selected.
9. On the day of the Grand Prize Drawing, the finalists shall report to the Lobby of the hotel no later than 6:30 a.m., EST, where the Drawing Manager or another Lottery employee will meet them and escort them to the grand prize drawing site.
10. Provided all nine (9) finalists are present, the Security Division Representative shall, at the direction of the Drawing Manager, produce a "pea-shake" drawing device containing "peas" numbered one through nine (1-9). If fewer than nine (9) finalists are present, only the appropriate number of "peas" will be included in the drawing. After a thorough mixing, the Drawing Manager shall shake one "pea" at a time from the "pea-shake" device and read the number aloud. Using the list created in the previous night's drawing, the number on the first "pea" selected shall be assigned and written next to the finalist whose name was selected first in the prior preliminary drawing. This shall continue until all finalists present have been assigned a number.
11. The Drawing Manager shall produce nine (9) keys or the number of keys consistent with the number of finalists. He/she shall then deposit the keys in an empty drawing device and perform a thorough mixing. The Drawing Manager shall then direct the finalist who was assigned number "1" to select a key, followed by the finalist who was assigned number "2", etc.
12. After all finalists have selected a key, the Drawing Manager shall direct the finalist who was assigned number "1" from the "pea shake" drawing to use his/her key to attempt to unlock the ignition. If the vehicle is successfully unlocked, the promotion ends and that finalist shall be declared the Grand Prize winner. If the vehicle does not unlock, the finalist who was assigned number "2" from the "pea shake" drawing shall be directed to use his/her key to attempt to unlock the vehicle. This process shall continue until a finalist's key unlocks the vehicle thereby establishing said finalist as the Grand Prize winner.



13. In the event of a vehicle malfunction such that none of the keys unlock the ignition, each finalist shall retain his/her key as the malfunction is corrected or until the Lottery determines that the drawing must be discontinued. In the latter event, the Lottery will direct each finalist to place his/her key in a sealed, labeled envelope for securing by the Security Division until the drawing can be rescheduled.
14. The Security Division Representative shall retain the above referenced lists and any other documentation resulting from these procedures and attach them, along with regional drawing reports, to the final Grand Prize Drawing report.

**D. Miscellaneous**

1. The Promotions Coordinator shall organize the distribution of prizes to the winners.
2. At the conclusion of the Grand Prize Drawing, all drawing related records shall be forwarded to the Director of the Security Division for inclusion with the final drawing report.
3. In the event a winner is deemed to be ineligible to receive a prize under Lottery statutes, that prize shall be forfeited and no other prize shall be awarded in its place.
4. These rules and procedures may be modified at any time within the sole discretion of the Director or the undersigned director's designee.
5. In the event of a dispute arising out of these rules and procedures, the player's remedy is limited to entry into another promotional drawing or event with prizes of a similar value.
6. All decisions of the Lottery are final.
7. Each person entering these promotional drawings agrees by the act of participation to be bound by Lottery statutes and administrative rules and these rules and procedures.
8. Winners and other participants, if any, in the "Hoosier Lotto Haulin' Cash" agree by virtue of participation to be photographed and/or recorded and agree to allow any such photographs and/or recordings to be used by the Lottery for publicity or marketing purposes. Winners and participants acknowledge and agree that they shall receive no additional compensation for the use of such photographs and/or recordings.

All of which is promulgated as of the date set forth above.

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Janna J. Shisler  
General Counsel and  
Director's Designee

## Attachment A

### HOOSIER LOTTERY REGIONAL OFFICES

Region 1  
Ameriplex, Suite A  
5252 Decatur Blvd.  
Indianapolis, IN 46241

Region 2  
1501 Martin Luther King Blvd.  
Gary, IN 46407

Region 3  
1539 N. Ironwood Dr.  
South Bend, IN 46635

Region 4  
2413 Hobson Rd.  
Fort Wayne, IN 46805

Region 5  
3415 W. Fox Ridge Lane  
Muncie, IN 47304

Region 6  
Summit Square, Suite 15  
3211 Grant Line Rd.  
New Albany, IN 47150

Region 7  
5625 E. Virginia Rd.  
Evansville, IN 47715

Region 8  
680 E. Springhill Dr.  
Terre Haute, IN 47802

ATTACHMENT B

DATE

Name of Winner  
Address

Re: **"HOOSIER LOTTO HAULIN' CASH" Promotional Drawing**

Dear :

Congratulations! Your entry was selected in the Hoosier Lottery's "HOOSIER LOTTO HAULIN' CASH" Promotional Drawing entitling you to a prize of \$1,000 and an overnight stay for you and a guest in the Omni Severin hotel in downtown Indianapolis. You are also a finalist in the Grand Prize Drawing for a 2004 Ford® F-150 Lariat Super Crew pick-up truck. There is no cash alternative to this prize.

In the event you win the truck, the Lottery will pay on your behalf the required state and federal income withholding taxes and will cover the cost of plate and title fees. Your prize(s) may, depending on your financial circumstances, result in additional federal taxes which will be your responsibility.

Hoosier Lottery personnel shall be in contact with you about claiming your \$1,000 prize, making trip arrangements and participating in the Grand Prize Drawing. Please complete and return the enclosed Claim Form no later than 4:00 p.m., EST, on July 21, 2004.

Thank you for participating in the Hoosier Lotto Haulin' Cash promotion. We look forward to meeting you and wish you continued good luck!

Sincerely,